

10/589148

IAP11 Rec'd PCT/PTO 11 AUG 2006

IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

PATENT APPLICATION

INFORMATION DISCLOSURE STATEMENT

Re: PHILLIPS, Stanley; PHILLIPS, Shirley; and
PHILLIPS, Andrew
New United States Patent Application
Filed: Concurrently herewith
Title: Apparatus for Generating Ozone and/or O₁
Using a High Energy Plasma Discharge

Attorney Client No. P44606

Toronto, Ontario

July 18, 2006

To: MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
U.S.A.

Dear Sir:

The following patents are the closest of which we are aware as material to patentability of the subject application.

<u>U.S. Patent Nos.</u>	<u>Issued</u>
3,899,685 - Francis et al	August 12, 1975
4,682,266 - Huynh et al	July 21, 1987
4,877,588 - Ditzler et al	October 31, 1989
5,154,895 - Moon	October 13, 1992
5,409,673 - Mausgrover et al	April 25, 1995
5,529,760 - Burris	June 25, 1996
5,879,641 - Conrad et al	March 9, 1999
5,892,675 - Yatsu et al	April, 6, 1999
6,468,400 - Smith	October 22, 2002
2003/0141180 A1 - Conrad et al	July 31, 2003

Foreign Patents

CA 989,349 - Francis et al	May 18, 1976
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CA 2,075,789 - Salama	February 12, 1994
CA 2,129,848 - Mausgrover et al	August 19, 1993
CA 2,163,820 - Burris	June 14, 1996
CA 2,224,163 - Dunder	December 12, 1996
CA 2,291,525 - Cooper et al	June 3, 2001
CA 2,424,410 - Kirby	October 3, 2004
WO 97/01507 - Arlemark	January 16, 1997
JP 11322305 A - Ichikawa	November 24, 1999
Patent Abstracts of Japan	
JP 11157809 A - Baba et al	June 15, 1999
Patent Abstracts of Japan	
JP 01153502 A - Matsumura et al	June 15, 1989
Patent Abstracts of Japan	

Attached is a completed form PTO/SB/08A listing these references. Copies of the above noted references are attached hereto.

EXECUTED at Courtice, Ontario, Canada this X 31 day of July, 2006.

Respectfully submitted,

X Stanley Phillips
Stanley PHILLIPS

EXECUTED at Courtice, Ontario, Canada this X 31 day of July, 2006.

Respectfully submitted,

X Shirley Phillips
Shirley PHILLIPS

EXECUTED at Courtice, Ontario, Canada this X 31 day of July, 2006.

Respectfully submitted,

X Andrew Phillips
Andrew PHILLIPS

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PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	PHILLIPS, Stanley
Art Unit	
Examiner Name	
Attorney Docket Number	P44606

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 3,899,685	08/12/1975	Francis et al	
		US- 4,682,266	07/21/1987	Huynh et al	
		US- 4,877,588	10/31/1989	Ditzler et al	
		US- 5,154,895	10/13/1992	Moon	
		US- 5,409,673	04/25/1995	Mausrover et al	
		US- 5,529,790	06/25/1996	Burris	
		US- 5,879,641	03/09/1999	Conrad et al	
		US- 5,892,678	04/06/1999	Yatsu et al	
		US- 6,468,400	10/22/2002	Smith	
		US- 2003/0141180 A1	07/31/2003	Conrad et al	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		CA 989,349	05/18/1976	Francis et al		
		CA 2,075,789	02/12/1994	Salama		
		CA 2,129,848	08/19/1993	Mausgrover et al		
		CA 2,163,820	06/14/1996	Burris		
		CA 2,224,163	12/12/1996	Dunder		
		CA 2,291,525	06/03/2001	Cooper et al		

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application Number

Filing Date

First Named Inventor

PHILLIPS, Stanley

Art Unit

Examiner Name

Attorney Docket Number

P44606

Sheet

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		CA 2,424,410	10/03/2004	Kirby		
		WO 97/01507	01/16/1997	Arlemark		
		JP 11322305 A	11/24/1999	Ichikawa		
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